

11/20/01

UTILITY PATENT APPLICATION TRANSMITTAL <small>Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	216202US3
	First Inventor or Application Identifier	Kenya W. [redacted] et al.
	Title	METHOD AND APPARATUS FOR TREATING SURFACE OF SUBSTRATE PLATE
Assignee Name: Hitachi Electronics Engineering Co., Ltd.		
Assignee Address: 16-3, Higashi 3-chome, Shibuya-ku, Tokyo, Japan		

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification  
Total Sheets
3. ☒ Formal Drawing(s)  
(35 U.S.C. 113)  
Total Sheets
4. ☒ Oath or Declaration  
Total Pages 
  - a. ☒ Newly executed (original)
  - b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation / divisional w/ box 17 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification or Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231**ACCOMPANYING APPLICATION PARTS**

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☒ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement  
(when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ White Advance Serial No. Postcard
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Applicant claims small entity status.  
See 37 CFR 1.27
16. ☐ Other:

jc973 U.S. PTO  
09/985559  
11/20/01

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information: Examiner:

of prior application no.:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
of application Serial No. Filed on

☐ Which was published in English  
☐ Which was not published in English

☐ This application claims priority of provisional application Serial No.

Filed

**19. CORRESPONDENCE ADDRESS**

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Signature:		Date:	11/20/01
Name:		Registration No.:	

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Kenya WADA, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: METHOD AND APPARATUS FOR TREATING SURFACE OF SUBSTRATE PLATE

## FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	- 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	- 3 =	0	× \$84 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
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A duplicate copy of this sheet is enclosed.

A check in the amount of **\$740.00** to cover the filing fee is enclosed.The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.

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Respectfully Submitted,

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